



The St. Elizabeth Fund, Diocese of Sioux City
DONOR ADVISED FUND WORKSHEET

Section One: Fund Contacts

Name Desired for your Fund: _THE_ DONOR ADVISED FUND.

Name of Contact for Fund: _____

Address _____

City/State/Zip _____

Telephone (_____) _____ Cell Phone (_____) _____

Email address: _____

Section Two: Funding Amounts

Initial Funding Gift Total Amount: \$ _____ (Minimum Amount = \$5,000)

How will the initial gift be funded?

- Cash Gift \$ _____
Annuity \$ _____ (Identifying # if known _____)
Life Insurance Policy \$ _____ (Identifying # if known _____)
Grain Type: _____ Bushels: _____
Stock Name: _____ # of shares _____
Other: _____ \$ _____

I intend to make additional future contributions to this fund: ___Yes ___No ___ Not sure

Other Gift Information: _____

Section Three: Fund Purposes and Beneficiaries

By making a contribution into this Fund, the beneficiaries will be limited to qualified organizations of the Diocese of Sioux City. The organizations or purposes I (We) wish to support are:

Who will serve as the Fund's Advisor? (If different than the Fund Contact designated above)

Name and/or Title _____

Address: _____

City/State/Zip _____

Phone (_____) _____

Email address _____

Section Four: Fund Future Desires

Do you plan to have a successor Advisor to continue after the passing of the Original Advisor? ___ Yes ___ No ___ Not sure

Who will serve as the Fund's Successor Advisor?

Name and/or Title _____

Address: _____

City/State/Zip _____

Phone (____) _____

Email address _____

Or Will the Fund be ended with a final distribution of Remaining Fund Assets? ___ yes ___ no ___ not sure

Distribution of Residual Funds: (**Must be entities of the Diocese of Sioux City**)

_____ % (Percent) to _____

_____ % (Percent) to _____

_____ % (Percent) to _____

(Additional distribution may be indicated in the notes section on the next page)

Section Five: Investment portfolio request:

As a donor of the Catholic United Financial Foundation, you can choose to have gifts to your fund invested in one of four investment opportunities at the Foundation. While the investment varies among opportunities, capital preservation remains as a critical component of each. Factors to consider when making your investment decision include your short-term vs. long-term grant making plans, and your ability to tolerate short-term fluctuations in investment values (and related investment risks) for potentially greater fund growth and charitable support over the long-term.

Catholic United Financial Annuities –

- Virtually No Risk
- Guaranteed Return
- Annual return which can be deferred or used
- Very conservative but allow use of returns
- Useful when principle funds are not spent but a return cash flow is needed
- Any willing person's life (with limitations) may be annuitized
- Flexibility in Investment by premiums paid
- 0.5% Annual Fee
- No Surrender charges currently for Foundation held annuities

Catholic United Financial Life Insurance

- Virtually No Risk
- Guaranteed Return
- Potential Significant Leverage
- All returns realized upon insured's death
- Useful if these funds and return are not needed for a longer period of time
- Any willing person's life (with limitations) may be insured
- Flexibility in Investment by premiums paid
- 1% of premiums paid as fees

Ave Maria/Epiphany Mutual Fund Portfolio

- Higher Risk – Funds rise and fall with Stock Market
- Higher Potential Return but risk of loss of principle funds
- Only invest in Conference of Bishops approved funds
- 1% Annual Management Fee
- Currently no other transaction fees are assessed to funds

Catholic United Financial Credit Union Cash Account

- No Risk
- No Return
- Highly flexible
- Useful if plan to use the funds quickly and need liquidity
- No fees

I recommend that the assets of gifts to my fund be invested as follows:

- Catholic United Financial Deferred Annuity Amount \$ _____
- Ave Maria/Epiphany Mutual Fund Portfolio Amount \$ _____
- Catholic United Financial Life Insurance Amount \$ _____
- Catholic United Financial Credit Union Cash Account Amount \$ _____

As required by IRS regulations, I acknowledge and agree that:

- My recommendation is advisory only and the Foundation may, at its sole and absolute discretion, follow or decline to follow my recommendation.
- The Foundation may at any time, at its sole and absolute discretion, change the investment of all or any portion of the assets in the Fund.
- Upon acceptance of my recommendation, the investments will be administered in accordance with the financial policies of the Foundation.
- Investments are subject to normal market and interest rate fluctuation risks, and any gain or loss generated by the above investments will be reflected accordingly in the Fund's value.

Additional notes:

Signatures:

Donor/Advisor Name (please Print): _____

Donor Signature: _____ Date: _____

Donor/Advisor Name (please Print): _____

Donor Signature: _____ Date: _____

Catholic United Financial Sales Representative: _____

Sales Rep Signature: _____ Date: _____

Catholic United Financial Foundation Director: _____

Exec. Director Signature: _____ Date: _____

Diocese of Sioux City Representative: _____

Representative Signature: _____ Date: _____